

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

SLOAN et al.

Application No. 09/929,735

Filed: August 13, 2001

For: COMMUNICATIONS INTERFACE FOR A  
FINANCIAL MODELING AND  
COUNSELING SYSTEM

Examiner: Not assigned

Group Art Unit: Not assigned

Date: December 7, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, D.C. 20231 on December 7, 2001.

Signed: Yvonne Mock

Yvonne Mock

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents  
**BOX: MISSING PARTS**  
Washington, DC 20231

Sir:

Enclosed herewith are the original, executed Declaration and Power of Attorney for Patent Application and Assignment and Assignment Recordation Sheet in response to the Notice to File Missing Parts of Application--Filing Date Granted mailed September 14, 2001. Please file these documents in the subject application.

Applicant hereby requests a one-month extension of time to December 14, 2001 in which to respond to Notice to File Missing Parts of Application--Filing Date Granted mailed September 14, 2001. Enclosed is Check No. 127035 in the amount of \$280.00.

1) Missing Parts Surcharge	\$ 130.00
2) Assignment Recordation Fee	\$ 40.00
3) One-month Extension Fee	\$ 110.00
	<u>\$ 280.00</u>

The Commissioner is authorized to charge the following fees and any other fees that are due to our Deposit Account No. 02-3964 (Order No. 60021.375702). A copy of this sheet is enclosed for this purpose.

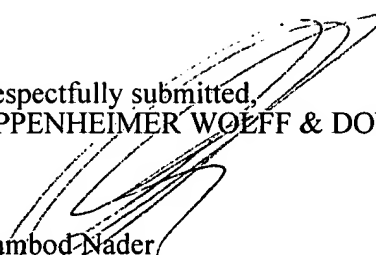
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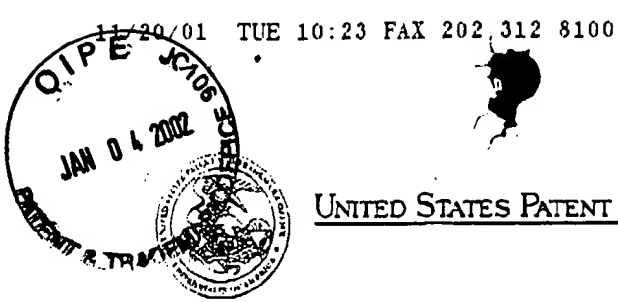
Attny Docket No. 60021.375702

Respectfully submitted,  
OPPENHEIMER WOLFF & DONNELLY, LLP



Rambod Nader  
Reg. No. 47,262

1400 Page Mill Road  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FLING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,735	08/13/2001	Ronald E. Sloan	60021.375702

OPPENHEIMER WOLFF & DONNELLY, LLP  
1400 Page Mill Road  
Palo Alto, CA 94304CONFIRMATION NO. 1151  
FORMALITIES LETTER

Date Mailed: 09/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Stephen B. Slutsky*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

01/08/2002 AGOITOM 00000005 09929735

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RECEIVED  
OPPENHEIMER WOLFF & DONNELLY LLP  
PALO ALTO, CALIFORNIA  
SEP 24 2001  
DOC. # AND 1 P 7574  
CAL'D 100 9112401  
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